BEFORE THE ARIZONA STATE BOARD OF TECHNICAL REGISTRATION

In the Matter of:

DAVID MARSH, P.E. (Civil), Registration No. 46538

Respondent.

Case No. P16-011

CONSENT AGREEMENT

In the interest of a prompt and judicious resolution of the above-captioned matter before the Arizona State Board of Technical Registration (the "Board") and consistent with the public interest, statutory requirements, and the responsibilities of the Board, and pursuant to Arizona Revised Statutes ("A.R.S.") §§ 32-101, et seq., and Arizona Administrative Code ("A.A.C.") R4-30-120(G), the undersigned party, David Marsh ("Respondent"), holder of Registration No. 46538, and the Board enter into the following Recitals, Findings of Fact, Conclusions of Law, and Order ("Consent Agreement") as a final disposition of this matter.

I. RECITALS

- 1. The Board has not conducted a hearing nor made a determination on the merits contained herein. Instead, the Board and Respondent have agreed to a full and final settlement of this matter in lieu of formal disciplinary proceedings, pursuant to A.A.C. R4-30-123(B).
- 2. Respondent has read and understands this Consent Agreement and has had the opportunity to discuss this Consent Agreement with an attorney, or has waived the opportunity to discuss this Consent Agreement with an attorney.
- 3. Respondent understands that he has a right to a public administrative hearing concerning this case. Respondent further acknowledges that, at such formal hearing, Respondent could present evidence and cross-examine witnesses. By entering into this Consent Agreement, Respondent knowingly, voluntarily, and irrevocably waives the right to such an administrative hearing, as well as rights of rehearing, review, reconsideration,

appeal, judicial review, or any other administrative and/or judicial action concerning the matters set forth herein.

- 4. Respondent affirmatively agrees that this Consent Agreement shall be irrevocable.
- 5. Respondent understands that this Consent Agreement or any part of the agreement may be considered in any future disciplinary action by the Board against it.
- 6. The Consent Agreement, any record prepared in this matter, all investigative materials prepared or received by the Board and all related exhibits and materials, are public records (as defined in A.R.S. § 41-151.18) upon acceptance by the Board of this Consent Agreement and may be retained in the Board's files pertaining to this matter.
- 7. Respondent understands this Consent Agreement deals with Board case number P16-011 involving allegations that Respondent engaged in conduct that could subject him to discipline under the Board's statutes and rules. The investigation into these allegations against Respondent shall be concluded upon the Board's adoption of this Consent Agreement.
- 8. Respondent understands that this Consent Agreement does not constitute a dismissal or resolution of any other matters currently pending before the Board, if any, and does not constitute any waiver, express or implied, of the Board's statutory authority or jurisdiction regarding any other pending or future investigation, action or proceeding.
- 9. Respondent also understands that acceptance of this Consent Agreement does not preclude any other agency, subdivision, or officer of this State from instituting any other civil or criminal proceedings with respect to the conduct that is the subject of this Consent Agreement.
- 10. Respondent acknowledges and agrees that, upon signing this Consent Agreement and returning this document to the Board's Executive Director, Respondent may not revoke acceptance of the Consent Agreement or make any modifications to the document regardless of whether the Consent Agreement has been signed on behalf of the

Board. Any modification to this original document is ineffective and void unless mutually agreed by the parties in writing.

- 11. This Consent Agreement is subject to the approval of the Board and is effective only when accepted by the Board and signed on behalf of the Board. If the Board does not accept this Consent Agreement, the Board retains its authority to hold a formal administrative hearing pursuant to A.R.S. § 32-128(E). In the event that the Board does not approve this Consent Agreement, it is withdrawn, shall be of no evidentiary value, and shall not be relied upon nor introduced in any action by any party. Respondent agrees that should the Board reject this Consent Agreement and this case proceeds to hearing, Respondent shall assert no claim that the Board was prejudiced by its review and discussion of this document or any records relating thereto.
- 12. If a court of competent jurisdiction rules that any part of this Consent Agreement is void or otherwise unenforceable, the remainder of the Consent Agreement shall remain in full force and effect.
- 13. Respondent agrees that any violation of this Consent Agreement may result in disciplinary action, including suspension or revocation of Respondent's registration, under A.R.S. §§ 32-150.
- 14. Respondent agrees that the Board will adopt the following Findings of Fact, Conclusions of Law and Order.

II. FINDINGS OF FACT

- 1. The Board is the duly constituted authority for the regulation and control of the practice of several professions, including the practice of engineering. A.R.S. §§ 32-101, et seq. Pursuant to A.R.S. §§ 32-106 and 32-122.01, the Board possesses jurisdiction over the subject matter and over Respondent.
- 2. Respondent is the holder of Arizona Professional Engineer (Civil) Registration No. 46538.
- 3. In or around 2015, Respondent entered into an agreement with the Hualapai Tribe to design Hualapai Fire Station #3 (the "Station"), located in Grand Canyon West.

- 4. On or about May 28, 2015, Respondent prepared calculations for construction of the Station.
- 5. On or about June 9, 2015, Respondent signed and sealed professional documents prepared by an independent contractor for the Station, which included structural engineering, mechanical engineering, electrical engineering and architectural plans.
- 6. On or about August 21, 2015, Board staff received a complaint alleging that Respondent's contractor copied and modified certain drawings from a different fire station project and sealed all discipline's sheets, including the architectural, structural, mechanical, and electrical drawings. It is also alleged that the title block of Respondent's firm, Strytek Engineering, was simply added to some copied sheets. It is alleged that Respondent's drawings were deficient due to missing critical information including structural calculations and details.
- 7. On January 14, 2016, an Enforcement Advisory Committee ("EAC") convened to review the complaint against Respondent. During the meeting, the EAC found that Respondent provided services that were not within his scope of knowledge or his professional registration, when he sealed architectural, structural, mechanical, and electrical design documents (including structural calculations) that he was not qualified to perform and that exceeded, in depth and detail, what would be considered to be incidental to the work of Respondent's profession. The findings of the EAC are as follows:
 - a. Respondent signed and sealed professional documents not prepared by Respondent or a bona fide employee of Respondent in violation of A.R.S. § 32-128(C)(4) as it relates to A.A.C. R4-30-301(16).
 - b. Respondent failed to apply the appropriated technical knowledge and skill in violation of A.R.S. § 32-128(C)(4) as it relates to A.A.C. R4-30-301(6), (17), and (18) in that the calculations for the Station project were identified to be deficient, incomplete, or missing specific and key structural components, and they were found not to match the structural plan details.

c. Respondent failed to apply the appropriate technical knowledge and skill in violation of A.R.S. § 32-128(C)(4) as it relates to A.A.C. R4-30-301(6), (17), and (18) in that the architectural, structural, mechanical, and electrical project drawings for the Station were found to be deficient, incomplete and poorly coordinated. The EAC identified that the plans were missing specific sheets and details that are referenced throughout the plans, including the foundation plan, roof framing plan, and architecture plans.

III. CONCLUSIONS OF LAW

- 1. The Board has jurisdiction in this matter pursuant to A.R.S. §§ 32-101, et seq.
- 2. The conduct alleged in the Findings of Fact constitutes grounds for discipline pursuant to A.R.S. § 32-128(C)(4) as it relates to A.A.C. R4-30-301(16), in that Respondent signed, stamped, or sealed professional documents not prepared by Respondent or a bona fide employee of Respondent.
- 3. The conduct alleged in the Findings of Fact constitutes grounds for discipline pursuant to A.R.S. § 32-128(C)(4) as it relates to A.A.C. R4-30-301(6), in that Respondent failed to apply appropriate knowledge and skill that would be applied by other qualified registrants who practice the same profession.
- 4. The conduct alleged in the Findings of Fact constitutes grounds for discipline pursuant to A.R.S. § 32-128(C)(4) as it relates to A.A.C. R4-30-301(17) and (18), in that Respondent accepted professional engagements or assignments outside of Respondent's professional registration category, without being qualified by education, technical knowledge, or experience to perform the work.

ORDER

Based on the foregoing Findings of Fact and Conclusions of Law, the Board issues the following Order:

1. LETTER OF REPRIMAND. Respondent is hereby issued a Letter of Reprimand.

2. STAYED SUSPENSION AND PROBATION. Respondent's registration as a Professional Engineer (Civil), Registration No. 46538, shall be suspended for twenty-four (24) months; however, the suspension is stayed as long as Respondent remains in compliance with this Order. During the stay of suspension, Respondent's registration as a Professional Engineer is placed on probation. If Respondent is non-compliant with any terms of this Order, the stay of the suspension shall be lifted and Respondent's registration as a Professional Engineer shall be automatically suspended without a formal hearing, and remain suspended until Respondent is compliant with all the terms of this Order. The Board may also consider Respondent's non-compliance with this Order as a separate violation of A.R.S. § 32-150.

3. ASSURANCE OF DISCONTINUANCE. Respondent shall not practice, offer to practice, display any card, sign or other device indicating proficiency in, or by any implication hold himself out to be qualified to practice, architecture as defined in A.R.S. § 32-101(B)(6) and (7).

Respondent shall not undertake assignments involving disciplines outside his scope of expertise, and he affirmatively agrees that he will contract with qualified, registered professionals who specialize in those disciplines required to complete all projects. The only exception to the above assurance is when the project is not a public works project, Respondent is certain that the work is "incidental" to the project, and he is qualified by education, technical knowledge, or experience to perform the work. Respondent affirmatively agrees that the exemption for "incidental" work necessarily requires that: (a) the work is related to and necessary for completion of Respondent's professional service, but is secondary and of relatively minor consequence when compared to the professional services usually and normally performed by Respondent practicing in his licensed profession, and (b) such incidental work can be performed competently and safely.

- 4. PROFESSIONAL ETHICS CLASS. Within sixty (60) days of the effective date of this Consent Agreement, Respondent shall provide verification to the Board that Respondent has successfully completed a class in professional ethics.
- 5. ADMINISTRATIVE PENALTY. Within six (6) months of the effective date of this Consent Agreement, Respondent shall pay a civil penalty in the total amount of Two Thousand Dollars (\$2000.00) by certified check or money order, made payable to the State of Arizona Board of Technical Registration.
- 6. COST OF INVESTIGATION. Within sixty (60) days of the effective date of this Consent Agreement, Respondent shall pay to the Board the cost of investigation of this case in the amount of Six Hundred Eighty Dollars (\$680.00) by certified check or money order, made payable to the State of Arizona Board of Technical Registration, according to the provisions of A.R.S. § 32-128(H).
- 7. EFFECTIVE DATE. The effective date of this Consent Agreement is the date it was last executed by the Respondent or the Board.
- 8. COSTS OF COMPLIANCE. Respondent shall pay all costs associated with complying with this Consent Agreement.
- 9. NONCOMPLIANCE. If Respondent violates this Order in any way, or fails to fulfill the requirements of this Order, the Board, after giving notice and the opportunity to be heard, may revoke, suspend, or take other disciplinary actions against Respondent's registration. The issue at such a hearing will be limited solely to whether this Order has been violated.

ACCEPTED and ORDERED this day of Leaves , 2019.

Jason E. Foose, RLS, Chairman Arizona State Board of Technical Registration

Consent	Agreement	and	Order,	Number	P16-011	accepted	this	29 0	lay	0
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David Marsh, Respondent

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1	ORIGINAL filed this 121 day of December, 2019, with:							
2	Arizona State Board of Technical Registration							
3	1110 W. Washington, Suite 240 Phoenix, AZ 85007							
4	COPY of the foregoing mailed/e-mailed this							
5	day of, 2019 to:							
6	Janae A. Perry-Meier, Esq.							
7	LAW OFFICES OF DONALD W. HUDSPETH, P.C. 3200 North Central Avenue, Suite 2500 Phoenix, Arizona 85012 jpm@azbuslaw.com							
8								
9								
10	Attorney for Respondent							
11	Deanie Reh							
12	deanie.reh@azag.gov Counsel for the State							
13	Coursel for the state							
14	By: <u>/s/Domenique T. Clark</u> Domenique T. Clark , Paralegal							
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