## BEFORE THE ARIZONA STATE

 BOARD OF TECHNICAL REGISTRATION$\left.\begin{array}{l}\text { In the Matter of: } \\ \text { Mark Ashby } \\ \text { Home Inspector } \\ \text { Certification No. } 40904 \\ \text { and } \\ \text { Home Sweet Home Inspections } \\ \text { Firm Registration No. } 15820 \text { (Closed) } \\ \text { Firm Registration No. } 24654 \text { (Active) } \\ \text { Respondents }\end{array}\right\}$

Case No.: HI23-026

## CONSENT AGREEMENT and ORDER OF DISCIPLINE

In the interest of a prompt and judicious resolution of the above-captioned matter before the Arizona State Board of Technical Registration ("Board") and consistent with the public interest, statutory requirements, and the responsibilities of the Board, and pursuant to A.R.S. § 32-101 et seq., and A.A.C. R4-30-120(G), the undersigned party, Mark Ashby ("Respondent"), holder of Certification No. 40904, Home Sweet Home Inspections ("Respondent Firm"), holder of firm registration No. 24654, and the Board enter into the following Recitals, Findings of Fact, Conclusions of Law and Order ("Consent Agreement") as a final disposition of this matter.

## RECITALS

1. Each Respondent has read and understands this Consent Agreement and has had the opportunity to discuss this Consent Agreement with an attorney, or has waived the opportunity to discuss this Consent Agreement with an attorney.
2. Each Respondent understands that they have a right to a public administrative hearing concerning this case. They further acknowledge that at such formal hearing they could present evidence and cross-examine witnesses. By entering into this Consent Agreement, Respondents knowingly, voluntarily, and irrevocably waive their right to
such an administrative hearing, as well as rights of rehearing, review, reconsideration, appeal, judicial review or any other administrative and/or judicial action concerning the matters set forth herein.
3. Each Respondent affirmatively agrees that this Consent Agreement shall be irrevocable.
4. Each Respondent understands that this Consent Agreement or any part of the agreement may be considered in any future disciplinary action by the Board against them.
5. The Consent Agreement, any record prepared in this matter, all investigative materials prepared or received by the Board and all related exhibits and materials, are public records upon acceptance by the Board of this Consent Agreement and may be retained in the Board's files pertaining to this matter.
6. Each Respondent understands this Consent Agreement deals with Board case number HI23-026 involving allegations that Respondents engaged in conduct that would subject them to discipline under the Board's statutes and rules. The investigation into these allegations against Respondents shall be concluded upon the Board's adoption of this Consent Agreement.
7. Each Respondent understands that this Consent Agreement does not constitute a dismissal or resolution of any other matters currently pending before the Board, if any, and does not constitute any waiver, express or implied, of the Board's statutory authority or jurisdiction regarding any other pending or future investigation, action or proceeding.
8. Each Respondent also understands that acceptance of this Consent Agreement does not preclude any other agency, subdivision, or officer of this State from instituting any other civil or criminal proceedings with respect to the conduct that is the subject of this Consent Agreement.
9. Each Respondent acknowledges and agrees that, upon signing this Consent Agreement and returning this document to the Board's Staff, they may not revoke their acceptance of the Consent Agreement or make any modifications to the
document regardless of whether the Consent Agreement has been signed on behalf of the Board. Any modification to this original document is ineffective and void unless mutually agreed by the parties in writing.
10. This Consent Agreement is subject to the approval of the Board and is effective only when accepted by the Board and signed on behalf of the Board. If the Board does not accept this Consent Agreement, the Board retains its authority to hold a formal administrative hearing pursuant to A.R.S. § $32-128(\mathrm{E})$. In the event that the Board does not approve this Consent Agreement, it is withdrawn and shall be of no evidentiary value and shall not be relied upon nor introduced in any action by any party, except that the parties agree that should the Board reject this Consent Agreement and this case proceeds to hearing, Respondents shall assert no claim that the Board was prejudiced by its review and discussion of this document or any records relating thereto.
11. If a court of competent jurisdiction rules that any part of this Consent Agreement is void or otherwise unenforceable, the remainder of the Consent Agreement shall remain in full force and effect.
12. Each Respondent understands that any violation of this Consent Agreement may result in disciplinary action, including suspension or revocation of the registration under A.R.S. § 32-150.
13. Each Respondent agrees that the Board will adopt the following Findings of Fact, Conclusions of Law and Order.

## FINDINGS OF FACT

1. The Board is the duly constituted authority for the regulation and control of the practice of Home Inspection in the State of Arizona.
2. Respondent is the holder of Arizona Home Inspector Certification No. 40904.
3. Respondent Firm was the holder of firm registration No. 15820, which expired on August 31, 2016 and closed on February 28, 2020.
4. Between February 28, 2020, and March 20, 2023, Respondent Firm was not
registered with the Board.
5. Respondent Firm is currently the holder of firm registration No. 24654.
6. At all times relevant to Respondent Firm registration \#15820 (expired) and \#24654 with the Board, Respondent has been and is currently principal of Respondent Firm.
7. On or about February 23, 2023, the Board received a complaint alleging that Respondent, after conducting a home inspection at 6866 E Kenyon Drive Tucson, AZ on or about November 23, 2022, inaccurately reported that the hot water heater was 22 years old when it was actually fairly new. It was also alleged that Respondent Firm engaged in the practice of home inspection without valid firm registration with the Board, as Board records showed that Respondent Firm's registration expired on August 31, 2016 and had not been renewed.
8. On or about March 14, 2023, Respondent indicated that he was unaware that Respondent Firm's registration with the Board had expired. Respondent further indicated that he was in the process of re-registering Respondent Firm with the Board.
9. On or about March 20, 2023, Respondent re-registered Respondent Firm with the Board under firm registration No. 24654.
10. On or about February 13,2024 , an EAC meeting was convened to review the complaint filed against Respondent; specifically the allegations regarding Respondent's home inspection at 6866 E Kenyon Drive Tucson, AZ on or about November 23, 2022. The EAC Committee did not substantiate the initial allegation that Respondent inaccurately reported on the age of the water heater. However, the Committee reviewed Respondent's Home Inspection Report and found that, based the Standards of Professional Practice for Arizona Home Inspectors ("S.O.P."), Respondent:
a. Failed to include the inspection date in the agreement as required per S.O.P. No. 2.2.A.2.
b. Failed to include the inspector's name and certification number in the inspection agreement as required per S.O.P. No. 2.2.A.3.
c. Failed to accurately report on the type of the roof structure as required per S.O.P. No. 4.2.A. 6.
d. Failed to report on the condition of the plumbing pipe supports as required per S.O.P. No. 7.1.B.1.
e. Failed to report on the functional flow of the plumbing system as required per S.O.P. No. 7.1.A.3.
f. Failed to repont on the functional drainage of the plumbing system as required in S.O.P. No. 7.1.B.3.
g. Failed to report on the presence and condition of gas pipe supports as required per S.O.P. No. 7.1.D.1.
h. Failed to report on the type of electrical service as required per S.O.P. No. 8.2.A.3.
i. Failed to report on the type and condition of the service entrance conductors as required per S.O.P. No. 8.1.A. \& No. 8.2.A.2.
$j$. Failed to report on the presence and condition of the heating system automatic safety controls as required per S.O.P. No. 9.1.A.3.
k. Failed to report on the presence of a heat source in each room as required per S.O.P. No. 9.1.A.7.
l. Failed to report on the presence of a cooling source in each room as required per S.O.P. No. 10.1.B.2.

During his interview with the EAC Committee, Respondent acknowledged, in his Home Inspection Report, he:
a. Failed to accurately report on the type of the roof structure as required per S.O.P. No. 4.2.A.6.
b. Failed to report on the presence and condition of the heating system automatic safety controls as required per S.O.P. No. 9.1.A.3.
c. Failed to report on the presence of a heating source in cach room as required per S.O.P. No. 9.1.A.7.
d. Failed to report on the presence of a cooling source in each room as required per S.O.P. No. 10.1.B.2.

## CONCLUSIONS OF LAW

1. The Board has jurisdiction in this matter pursuant to A.R.S. § 32-101, et seq.
2. The conduct alleged in the Findings of Fact constitutes grounds for discipline pursuant to A.R.S. § $32-128(\mathrm{C})(4)$ as it relates to A.A.C. R4-30-301.01. in that Respondent failed to conduct a Home Inspection in accordance with the Standards of Professional Practice for Arizona Home Inspectors.
3. The conduct alleged in the Findings of Fact constitutes grounds for discipline pursuant to A.R.S. § 32-121 and A.R.S. § 32-141, in that Respondent Firm practiced or offered to practice a Board regulated profession without valid firm registration with the Board.

## ORDER

Based on the foregoing Findings of Fact and Conclusions of Law, the Board issues the following Order:

1. LETTER OF REPRIMAND. Respondent is hereby issued a Letter of Reprimand.
2. STAYED SUSPENSION AND PROBATION. As of the effective date of this Order, Respondent's certification as an Arizona Home Inspector, No. 40904, shall be suspended for Twelve (12) Months; however, the suspension shall be stayed for as long as Respondent remains in compliance with this Order. During the period of stayed suspension, Respondent's certification as an Arizona Home Inspector shall be placed on probation. If Respondent is non-compliant with any term of this Order during the probationary period, the stay of suspension shall be lifted and Respondent's certification as an Arizona Home Inspector shall be automatically suspended without formal hearing, and remain suspended until Respondent is compliant with all terms of this Order.
3. ADMINISTRATIVE PENALTY. Within Twelve (12) Months from the effective date of this Consent Agreement, Respondent shall pay an administrative penalty
of Eight Hundred and Fifty Dollars (\$850.00) by certified check or money order made payable to the State of Arizona Board of Technical Registration.
4. COST OF INVESTIGATION. Within Twelve (12) Months from the effective date of this Consent Agreement, Respondent shall pay the cost of investigation of this case to the Board in the amount of Four Hundred Dollars ( $\$ 400.00$ ) by certified check or money order made payable to the State of Arizona Board of Technical Registration, according to the provisions of A.R.S. § 32-128(H).
5. OBEY ALL LAWS. Respondent shall obey all federal, state and local laws, as well as, all rules governing the practice of Home Inspection in the State of Arizona. The Board shall consider any violation of this paragraph to be a separate violation of the rules and statues governing the Arizona Board of Technical Registration. The Board may also consider Respondent's non-compliance with this Order as a separate violation of A.R.S. § 32-150.
6. RENEWAL OF REGISTRATION. During the probationary period, Respondent and Respondent Firm shall timely renew their certification as an Arizona Home Inspector and registration as an Arizona Home Inspection Firm, and timely pay all required registration fees.
7. EFFECTIVE DATE. The effective date of this Consent Agreement is the date the Respondent and Board sign the Consent Agreement. If the dates are different, the effective date is the later of the two dates.
8. COSTS OF COMPLIANCE. Respondent shall pay all costs associated with complying with this Consent Agreement.
9. NONCOMPLIANCE. If Respondent violates this Order in any way or fails to fulfill the requirements of this Order, the Board, after giving notice and the opportunity to be heard, may revoke, suspend or take other disciplinary actions against the registration. The issue at such a hearing will be limited solely to whether this Order has been violated.

ACCEPTED and ORDERED this $26^{\text {th }}$ day of Mare 2024. Thulvelf Rulfojhen
Michael Kolejka, R.A., (Hairman
Arizona State Board
Technical Registration

Consent Agreement and Order, No. H123-026 accepted this 1tad day of Mact , 2024.

ORIGINAL filed this _27th day of
March , 2024, with:
Arizona State Board of Technical Registration
1110 W . Washington, Suite 240
Phoenix, AZ 85007

COPY of the foregoing mailed via Certified Mail
No. 9214890194344600096513 and
First Class mail this _27th _ day of _ March , 2024, to:

Mark Ashby
Home Sweet Home Inspections
6212 E. Oak St.
Tucson, AZ 85711

By: Daniel Carthel

